

Docket No. 250738US2CONT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Yoshinori OKUMURA, et al.

SERIAL NO: New CONT Application

GAU:

FILED: Herewith

EXAMINER:

FOR: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were provided in previously filed U.S. Application No. 10/444,959, filed May 27, 2003.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

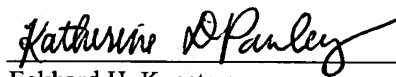
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 250738US2CONT			SERIAL NO. New CONT Application		
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Yoshinori OKUMURA, et al.					
				FILING DATE Herewith			GROUP		
<b>U.S. PATENT DOCUMENTS</b>									
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	AA	5,656,841	08/1997	Watanabe, et al.					
	AB								
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	AI								
	AJ								
	AK								
	AL								
	AM								
	AN								
<b>FOREIGN PATENT DOCUMENTS</b>									
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO				
	AO	2000-353803	12/19/2000	Japan (w/English Abstract)		x			
	AP	2002-231821	08/16/2002	Japan (w/English Abstract, corr. USSN 09/960,329)		x			
	AQ								
	AR								
	AS								
	AT								
	AU								
	AV								
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>									
	AW	K. IMAI, et al., IEDM, pages 455-458, "CMOS DEVICE OPTIMIZATION FOR SYSTEM-ON-A-CHIP APPLICATIONS," 2000							
	AX	H. WATANABE, et al., IEDM, pages 975-978, "NOVEL 0.44 $\mu$ m <sup>2</sup> Ti-SALICIDE STI CELL TECHNOLOGY FOR HIGH-DENSITY NOR FLASH MEMORIES AND PERFORMANCE EMBEDDED APPLICATION," 1998							
	AY								
	AZ					<input type="checkbox"/> Additional References sheet(s) attached			
Examiner					Date Considered				
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									